



RICHARD HARRIS



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| INVESTMENT SPECIALIST | EXPERT WITNESS |

- ***A pioneer of the investment community; 37 years experience (27 Asia, 10 Europe).***
- ***Experienced Financial Expert Witness. Member Academy of Experts.***
- ***Available for Litigation, Arbitration, Mediation & Case Adviser for reports and Court***
- ***Maintain market experience through investment advisory work***
- ***Board Director and consultant in regulatory, asset management and trading aspects.***
- ***Writer and Broadcaster. Financial presenter on Radio Hong Kong. Columnist in SCMP***
- ***Senior Executive with large firms and small. International lecturer.***
- ***Entrepreneurial business builder In Asia and Europe***
- ***Harvard MBA. Chartered Engineer. London BSc First Class, MSc. Peking & Stanford***

EXPERT EVIDENCE

Richard Harris is one of the few full-time, independent financial expert witnesses in banking, corporate securities, trading, derivatives, client/bank disputes and market misconduct.

My strategy is to stay 'current' by spending half of my time in the markets and half in providing financial expert evidence.

I have been appointed to provide research reports and Court evidence for a wide range of complex financial litigation and arbitration cases from Hong Kong, Singapore, Japan and the US. I am also available for cases in Australia and London, where I also spend time.

I have reviewed the cases of both Plaintiffs and Defendants, in civil and criminal disputes, including those involving synthetic derivatives, insider trading, mis-selling, misuse of information, employment contracts, shareholder agreements, loan documents, disputes between banks and clients, setting of pricing, and determination of quantum.

I am frequently appointed by the Regulators, and also bring in or work alongside other experts such as forensic accountants and liquidators. I am a Practising Member of the Academy of Experts.

I develop my expert skills through continual training by The Academy of Experts, London; the HK International Arbitration Centre; ADR International (Mediation); and the University of Monash/ Australian Advocacy Institute.

I also lecture on advanced financial matters for the CFA Institute and professional conferences around Asia. I authored the book, "*Investment Insights*" in 1995 and my current interest is Behavioural Finance. My complementary in-house training for lawyers, "*So you think you are an Expert?*" – is a favourite for CPD hours.



PROFESSIONAL INVESTMENT EXPERIENCE

I am the Chief Executive of Port Shelter Investment, which serves the investment management and legal communities in providing investment strategy and execution. I actively maintain my investment registrations with the HK SFC as a dealer, adviser and investment manager and I am also a Responsible Officer for East Capital, a Stockholm-based fund manager. I was similarly regulated by the FCA in London.

I pioneered investment management in many Asian countries having worked for 37 years all over Asia and Europe; and for shorter periods in the US and Mainland China. I have held senior roles for large global companies, such as JP Morgan, Citi and BNY Mellon (as they are now), and several regional boutiques as CEO.

My clients have included public and private institutions, investment managers, prominent individuals, Boards, and Family Offices over Asia and in Europe.

I have substantial regulatory, Board, executive and China experience. I have personally managed billion US dollar multi-asset long, derivative, hedge, and mutual funds for sovereign, endowment, institutional, pension, private and retail clients.



My functional specialties come from an exceptionally broad experience in the buy and the sell sides of the industry. This includes investment research, trading, sales and compliance, asset allocation, product selection, portfolio construction and risk management.

I established, managed and sold a private equity firm in London. I retain my Chartered Engineer status from my early years working as a geotechnical civil engineer and was awarded the Institution of Civil Engineers Prize for the top young engineer of 1982.

EDUCATION

I attended Harvard Business School (MBA); the University of London at Imperial College MSc, DIC; and King's College/LSE where I took a First Class Honours BSc degree.

WRITER AND BROADCASTER

Richard is a prolific writer and broadcaster and Presenter of Radio Television HK's prime-time daily financial programme, "Money for Nothing" ([Listen HERE](#)). He is published weekly in the South China Morning Post ([Read HERE](#)) and is a popular market commentator on Bloomberg and CNBC ([Watch HERE](#)). He was first to coin the terms "Li-onomics" and the "China Dollar".



Richard is an aerobatic private pilot and a rugby player of some 52 years' standing.



PORT SHELTER
INVESTMENT MANAGEMENT

If you have any colleagues in Hong Kong / London / Singapore / Sydney who might like to talk to - or appoint - a financial expert, please feel free to pass on my contact details.

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Richard Harris Financial Expert Witness

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