



RICHARD HARRIS



Tel: +852 9244-6622 richardharris@portshelter.com www.portshelter.com

| INVESTMENT SPECIALIST | EXPERT WITNESS |

- ***A pioneer of the investment community; 39 years experience (27 Asia, 12 Europe).***
- ***Experienced Financial Expert Witness. Member Academy of Experts.***
- ***Given evidence in Litigation, Arbitration, Tribunal and Mediation Cases***
- ***Board Director and consultant in regulatory, asset management and trading aspects.***
- ***Maintain market experience through investment advisory work***
- ***Writer and Broadcaster. Financial presenter on Radio Hong Kong. Columnist for SCMP***
- ***Extensive network from senior roles in the industry.***
- ***Entrepreneurial business builder In Asia and Europe. International lecturer.***
- ***Harvard MBA. Chartered Engineer. London BSc First Class, MSc. Peking & Stanford U***

EXPERT EVIDENCE

Richard Harris is one of the few professional, independent financial expert witnesses in investment, banking, market misconduct, trading, derivatives, client/bank disputes and corporate securities.

“I have been appointed to provide research reports and Court evidence for a wide range of complex financial litigation, arbitration and tribunal cases in Hong Kong, Singapore, Japan and the US. I am also available for cases in Australia and London.

I spend about half my time in the investment markets and half as an expert, so as to retain my currency in the trading environment. The strategy lends itself to solving complex disputes between clients, financial institutions and regulators.

I have reviewed the cases of both Plaintiffs and Defendants, in civil and criminal disputes, including those involving synthetic derivatives, insider trading, mis-selling, misuse of information, employment contracts, shareholder agreements, loan documents, disputes between banks and clients, setting of pricing, and determination of quantum.

I have been frequently appointed by Regulators, as a single or joint expert, and can bring in or work alongside other experts, such as forensic accountants or liquidators.

I continue to develop my expert skills by training with, for instance, The Academy of Experts, London; the HK International Arbitration Centre; ADR International (Mediation); and the University of Monash/ Australian Advocacy Institute. I am a Practising Member of the Academy of Experts.

I am a long-term public speaker and lecture on financial matters for professional organisations around Asia and India. I authored the book, “*Investment Insights*” and my current interest is Behavioural Finance. My complementary in-house training for lawyers, “*So you think you are an Expert?*” – is a asset for continuing professional development hours.”



PROFESSIONAL INVESTMENT EXPERIENCE

Richard is the Chief Executive of Port Shelter Investment, which provides investment strategy and execution to the investment management and legal communities. He actively maintains his investment registrations with the HK SFC as a dealer, adviser and investment manager and is a Responsible Officer for East Capital, a Stockholm-based fund manager. He was similarly regulated by the FCA in London.

Mr. Harris pioneered investment management in many Asian countries having worked for 39 years all over Asia and Europe; and for shorter periods in the US and Mainland China. He has held senior roles for large global companies, such as JP Morgan, Citi and BNY Mellon (as they are now), and several regional boutiques as Chief Executive.

Richard's clients included public and private institutions, investment managers, prominent individuals, Boards, and Family Offices across Asia and in Europe.

Richard Harris has substantial regulatory, Board, executive and China experience. He personally managed billion US dollar multi-asset long, derivative, hedge, and mutual funds, and private equity funds, for sovereign, endowment, institutional, pension, private and retail clients.



Mr. Harris' functional specialties as an Expert come from exceptionally broad experience in the buy and the sell sides of the investment and banking industries. This includes investment research, trading, sales and compliance, asset allocation, product selection, portfolio construction and risk management.

Richard established, managed and sold a private equity firm in London. He retains his Chartered Engineer status from his years working as a geotechnical civil engineer and was awarded the Institution of Civil Engineers Prize for the top young engineer of 1982.

EDUCATION

Mr. Harris holds a MBA from Harvard Business School, a MSc and DIC from the University of London Imperial College; and King's College/LSE where he took First Class Honours. He has also attended Peking and Stanford Universities.

WRITER AND BROADCASTER

Richard Harris is a prolific writer and broadcaster Presenter of Radio Television HK's prime-time daily financial programme, "Money Talk". He is published weekly in the South China Morning Post and is a popular market commentator on Bloomberg and CNBC. He was first to coin the terms "Li-onomics", the "China Dollar" and "Donalnomics". See: www.portshelter.com.



Richard is an aerobatic private pilot and a rugby player of some 53 years.

